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## In the United States Patent and Trademark Office

Applicant: Robert J. Gerndt Docket No.: 19631  
Serial No.: 10/748,522 Group:  
Confirmation No.: Not Received Examiner:  
Filed: December 30, 2003  
For: Apparatus and Method for Deforming Sheet Materials

### Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b) Before First Office Action or Within Three Months of Filing Date

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicant, through and by his attorneys, hereby wishes to direct the Examiner's attention to the documents listed on the modified PTO 1449 Form. A copy of each non-U.S. patent document listed on the form is also presented herewith for the Examiner's review and convenience. Cited U.S. patents and U.S. patent application publications are not included in accordance with the U.S. Patent Office waiver of the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003.

By inclusion of any given document in this Information Disclosure Statement, Applicant in no way admits that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicant recommends that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Applicant requests the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicant requests that the undersigned receive a copy of the initialed PTO-1449.

If the Examiner refuses to consider any or all of the herein listed materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicant respectfully requests that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutorial fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: (770) 587-8908.

Respectfully submitted,

ROBERT J. GERNDT

By:

Robert Ambrose

Robert A. Ambrose

Registration No.: 51,231

CERTIFICATE OF MAILING

I, Robert A. Ambrose, hereby certify that on May 20<sup>th</sup>, 2004 this document is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:

Robert Ambrose

Robert A. Ambrose



## List of Patents and Publications for Information Disclosure Statement

Applicant(s): Robert J. Gerndt  
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U.S. PATENT DOCUMENTS							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A1	634,307	10/3/1899	Neidhardt			
	A2	679,425	7/30/1901	Kertesz			
	A3	1,925,582	9/5/1933	Couch			
	A4	1,938,110	12/5/1933	Neutelings			
	A5	2,158,087	5/16/1939	Rowe et al.			
	A6	2,618,012	11/18/1952	Milne			
	A7	2,745,538	5/15/1956	Lamb			
	A8	2,849,781	9/2/1958	Rosen			
	A9	2,856,060	10/14/1958	Malnati			
	A10	2,892,422	6/30/1959	Casale			
	A11	2,988,722	6/20/1961	Horn			
	A12	3,043,244	7/10/1962	Engels			
	A13	3,109,218	11/5/1963	Morgan			
	A14	3,119,152	1/28/1964	Kalwaites			
	A15	3,220,057	11/30/1965	Walton			
	A16	3,235,906	2/22/1966	Conti			
	A17	3,254,148	5/31/1966	Nichols			
	A18	3,257,488	6/21/1966	Rasmussen			
	A19	3,303,547	2/14/1967	Kalwaites			
	A20	3,320,647	5/23/1967	Wilford			
	A21	3,502,763	3/24/1970	Hartmann			
	A22	3,517,098	6/23/1970	Rasmussen			
	A23	3,586,602	6/22/1971	Schmidt			
	A24	3,624,874	12/7/1971	Lauchenauer			
	A25	3,692,618	9/19/1972	Dorschner et al.			
	A26	3,708,831	1/9/1973	Burger			
	A27	3,802,817	4/9/1974	Matsuki et al.			
	A28	3,849,241	11/19/1974	Butin et al.			
	A29	3,890,422	6/17/1975	Livingston			
	A30	3,947,538	3/30/1976	Marshall et al.			
	A31	3,953,909	5/4/1976	Yazawa et al.			
	A32	4,087,226	5/2/1978	Mercer			
	A33	4,116,892	9/26/1978	Schwarz			
	A34	4,336,638	6/29/1982	Mercer			
	A35	4,340,563	7/20/1982	Appel et al.			
	A36	4,349,500	9/14/1982	Yazawa et al.			
	A37	4,368,565	1/18/1983	Schwarz			

**List of Patents and Publications for Information Disclosure Statement**

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A38	4,434,128	2/28/1984	Okada et al.				
A39	4,525,317	6/25/1985	Okada et al.				
A40	4,987,663	1/29/1991	Epple				
A41	5,028,289	7/2/1991	Rasmussen				
A42	5,043,036	8/27/1991	Swenson				
A43	5,108,820	4/28/1992	Kaneko et al.				
A44	5,142,751	9/1/1992	Senba				
A45	5,272,236	12/21/1993	Lai et al.				
A46	5,278,272	1/11/1994	Lai et al.				
A47	5,332,613	7/26/1994	Taylor et al.				
A48	5,336,552	8/9/1994	Strack et al.				
A49	5,382,400	1/17/1995	Pike et al.				
A50	5,422,172	6/6/1995	Wu				
A51	5,455,992	10/10/1995	Kurschatke et al.				
A52	5,517,737	5/21/1996	Viltro et al.				
A53	5,522,203	6/4/1996	Lancaster, III et al.				
A54	5,539,056	7/23/1996	Yang et al.				
A55	5,596,052	1/21/1997	Resconi et al.				
A56	5,809,624	9/22/1998	Nakamae et al.				
A57	5,826,314	10/27/1998	Aihara et al.				
A58	5,861,074	1/19/1999	Wu				
A59	5,996,195	12/7/1999	White et al.				
A60	6,383,431	5/7/2002	Dobrin et al.				
A61	2002/089087	7/11/2002	Mushaben				

**Foreign Patent Documents**

							Trans.
Initials	Doc. No.	Date	Country	Class	Subclass	Yes	No
A1	GB 753,603	7/25/1956	GB				
A2	WO 01/09424	2/8/2001	WO-PCT				

**Other Documents**

Initials		Author, Title, Date, Pages, etc.
A1		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.  
 Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner:

Form PTO-1449 (Modified)

Date Considered: